

FEE TRANSMITTAL for FY 2001

Complete if Known

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.

Application Number 09/648,816
Filing Date August 25, 2000
First Named Inventor Michael R. Yeaman
Examiner Name Unassigned
Group / Art Unit Unassigned
Attorney Docket No. 660081.415C1

TOTAL AMOUNT OF PAYMENT (\$1,354)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 19-1090
Deposit Account Name Seed Intellectual Property Law Group PLLC

☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
101	690	201	345	Utility Filing Fee	355
106	310	206	155	Design Filing Fee	
107	480	207	240	Plant Filing Fee	
108	690	208	345	Reissue Filing Fee	
114	150	214	75	Provisional Filing Fee	

SUBTOTAL (1) (\$ 355)

2. EXTRA CLAIM FEES

Claims	Extra Claims X	Fee from below =	Fee Paid
66 -20	46	9	414
Ind. Clms 16 -3	13	40	520
Multiple Dependent Claims			

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
103	18	203	9	Claims in excess of 20	
102	78	202	39	Indep. claims in excess of 3	
104	260	204	130	Multiple dependent claim	
109	78	209	39	Reissue indep. claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 934)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
105	130	205	65	Surchg - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
147	2520	147	2520	Filing a request for reexamination	
112	920*	112	920*	Request publication of SIR prior to Examiner action	
113	1840*	113	1840*	Request publication of SIR prior to Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1360	218	680	Extension for reply within fourth month	
128	1850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of appeal	
121	260	221	130	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive: unavoidable	
141	1210	241	605	Petition to revive: unintentional	
142	1210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property	
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
Other fee (specify)					
Other fee (specify)					

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 65)

SUBMITTED BY:

Name	Registration No.	Telephone	Date
DAVID D. MCMASTERS	33,963	(206) 622-4900	December 6, 2000
Signature			

PATENT

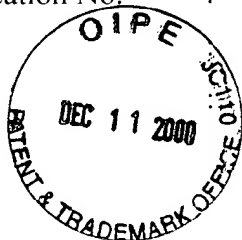
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michael R. Yeaman and Alexander J. Shen

Application No. : 09/648,816

Filed : August 25, 2000

For : ANTIMICROBIAL PEPTIDES AND DERIVED METAPEPTIDES



Docket No. : 660081.415C1

Date : December 6, 2000

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

GENERAL AUTHORIZATION UNDER 37 C.F.R. § 1.136(a)(3)

Assistant Commissioner for Patents:

With respect to the above-identified application, the Assistant Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Assistant Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-1090.

Respectfully submitted,

Michael R. Yeaman and Alexander J. Shen

Seed Intellectual Property Law Group PLLC

A handwritten signature in dark ink, appearing to read "David D. McMasters".

David D. McMasters

Registration No. 33,963

DDM:nr
701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092
Phone: (206) 622-4900
Fax: (206) 682-6031
C:\Winword\DDM\10142.doc



#3
PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.

12/6/00
Date

David D. McMasters
David D. McMasters

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michael R. Yeaman and Alexander J. Shen
Application No. : 09/648,816
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Docket No. : 660081.415C1
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Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents:

In response to the Notice to File Missing Parts dated October 6, 2000, please find enclosed a Declaration, an Election and Power of Attorney, a copy of an Assignment, copies of three Verified Small Entity Statements (3), and a copy of the Formalities Letter, for the above-identified application. Also enclosed is a General Authorization under 37 C.F.R. § 1.136(a)(3).

Also enclosed is a check in the amount of \$1,354 for the requisite fees. The fees have been calculated in accordance with applicants' small entity status.

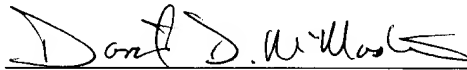
The fees have been calculated as follows:

Basic Fee	\$ 355
Total Claims (66, 46 extra)	414
Independent Claims (16, 13 extra)	520
Multiple Dependent Claims Surcharge	0
Missing Parts Surcharge	65
TOTAL	\$ 1,354

The Assistant Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this response is enclosed.

Respectfully submitted,

Michael R. Yeaman and Alexander J. Shen
Seed Intellectual Property Law Group PLLC



David D. McMasters
Registration No. 33,963

DDM:nr

Enclosures:

- Postcard
- Check
- Fee Transmittal (+1 copy)
- Copy of this Response
- Declaration
- Election and Power of Attorney
- Three Verified Statements Claiming Small Entity Status (3)
- Copy of Formalities Letter
- General Authorization Under 37 C.F.R. § 1.136(a)(3)

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Phone: (206) 622-4900
Fax: (206) 682-6031

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/648,816	08/25/2000	Michael R. Yeanman	660081.415C1

David D McMasters
Seed Intellectual Property Law Group PLLC
701 Fifth Avenue
Suite 6300
Seattle, WA 98104-7092

FORMALITIES LETTER



OC000000005459455

RECEIVED

OCT 20 2000

SEED INTELLECTUAL PROPERTY
LAW GROUP PLLC

Date Mailed: 10/06/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1842.
 - \$828 for 46 total claims over 20.
 - \$1014 for 13 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2662.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

12/12/2000 CVDRACHA 00000104 09648816
01 FC:201
02 FC:203
03 FC:202
04 FC:205
355.00 DP
414.00 DP
520.00 DP
65.00 DP